

Atty: Docket/Matter No: Citi0089  
Action: Ms, Pvb  
Due: 8-16-99  
C/U: 7-16, 8-9



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/313,297	05/17/99	KAWAN	J CITI0089-US

GEORGE T MARCOU  
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SUITE 800  
700-13TH STREET  
WASHINGTON DC 20005

0242/0616



NOV 05 1999

NOT ASSIGNED

2761

DATE MAILED:

06/16/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION

#### *Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1:136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
 small entity (statement filed)  non-small entity is \$ 130 -*

1. The statutory basic filing fee is:

- missing.  
 insufficient.

*Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*

2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

3. The oath or declaration:

- is missing or unsigned.  
 does not cover the newly submitted items.

*An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

*Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).*

9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

***A copy of this notice MUST be returned with the reply.***

*D. Bell*

Customer Service Center

Initial Patent Examination Division (703) 308-1202



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1/16/1999 09313297  
130.00 09313297

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the U.S. Application of:

Joseph C. KAWAN, et al

Serial No.: 09/313,297

Filed: May 17, 1999

For: SYSTEM AND METHOD FOR AUTOMATED  
ELECTRONIC SCRIP TRANSACTIONS



Group Art Unit: 2761

#3

Examiner: Not Yet Assigned

**TRANSMITTAL OF MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application -- Filing Date Granted mailed June 16, 1999, the following items are submitted herewith to complete the formal filing requirements for the subject application:

- (1) A Declaration/Power of Attorney for this patent application executed by the inventors;
- (2) Recordation Cover Sheet and Assignment form;
- (3) Petition for Three-Month Extension to File;
- (4) Checks for the following:
  - \$130.00 for surcharge fee pursuant to 37 CFR § 1.16(e);
  - \$40.00 for assignment recordation fee pursuant to 37 CFR § 1.21(h); and
  - \$870.00 for one-month extension fee pursuant to 37 CFR § 1.17(a)(3);and
- (5) A copy of the Notice to File Missing Parts of Application - Filing Date Granted mailed on June 16, 1999.

Art Group: 2761

If any additional fees are required in connection with the filing of this response, the Commissioner is hereby authorized to charge the same to the Deposit Account no. 11-0855. A copy of this correspondence is provided for this purpose.

Respectfully submitted,

Date: 11/5/91  
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(202) 508-5800



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